

Form 210A (10/06)

# United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc. et al., Debtors,

Case No. 08-13555 (JMP) (Jointly Administered)

## TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a).  
Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the  
transfer, other than for security, of the claim referenced in this evidence and notice

Standard Chartered Bank (Hong Kong) Limited  
Name of Transferee

Park Jung Ki

Name of Transferor

Name and Address where notices to transferee  
should be sent:

21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong

Phone: WM.Invest@sc.com

Last Four Digits of Acct #: 8881

Court Claim # (if known): 39715

Amount of Claim: USD 70,000 -

Date Claim Filed: 13 Oct 2009

Phone: 714-336-6210

Last Four Digits of Acct. #: 4661

Name and Address where transferee payments  
should be sent (if different from above):

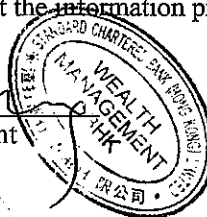
Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the  
best of my knowledge and belief.

By: [Signature]  
Transferee/Transferee's Agent

Date: - 4 MAY 2011



Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Form 210B (10/06)

## United States Bankruptcy Court

\_\_\_\_\_ District Of \_\_\_\_\_

In re \_\_\_\_\_, Case No. \_\_\_\_\_

### NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY

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\_\_\_\_\_  
Name of Alleged Transferor

\_\_\_\_\_  
Name of Transferee

\_\_\_\_\_  
Address of Alleged Transferor:

\_\_\_\_\_  
Address of Transferee:

#### **~DEADLINE TO OBJECT TO TRANSFER~**

The alleged transferor of the claim is hereby notified that objections must be filed with the court within twenty (20) days of the mailing of this notice. If no objection is timely received by the court, the transferee will be substituted as the original claimant without further order of the court.

Date: \_\_\_\_\_

\_\_\_\_\_  
**CLERK OF THE COURT**

Form 210A (10/06)

# United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc. et al., Debtors,

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transfer, other than for security, of the claim referenced in this evidence and notice

*poon chung kam*

Standard Chartered Bank (Hong Kong) Limited.  
Name of Transferee

Name of Transferor

Name and Address where notices to transferee  
should be sent:

21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong

Phone: WM.Invest@sc.com

Last Four Digits of Acct #: 8881

Court Claim # (if known): 38092  
Amount of Claim: USD 154156.1  
Date Claim Filed: 130672009

Phone: 93853909  
Last Four Digits of Acct. #: 4698

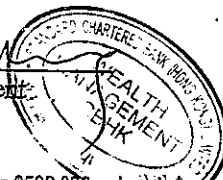
Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the  
best of my knowledge and belief.

By: *[Signature]*  
Transferee/Transferee's Agent

Date: - 4 MAY 2011



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## United States Bankruptcy Court

\_\_\_\_\_ District Of \_\_\_\_\_

In re \_\_\_\_\_, Case No. \_\_\_\_\_

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\_\_\_\_\_  
Name of Alleged Transferor

\_\_\_\_\_  
Name of Transferee

\_\_\_\_\_  
Address of Alleged Transferor:

\_\_\_\_\_  
Address of Transferee:

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CLERK OF THE COURT

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transfer, other than for security, of the claim referenced in this evidence and notice

*Dian Yuanying*

Standard Chartered Bank (Hong Kong) Limited.  
Name of Transferee

Name of Transferor

Name and Address where notices to transferee  
should be sent:

21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong

Phone: WM.Invest@sc.com  
Last Four Digits of Acct #: 8881

Court Claim # (if known): 39234  
Amount of Claim: USD 450,000.00  
Date Claim Filed: 13 OCT 2009

Phone: 13706157878  
Last Four Digits of Acct #: 7168

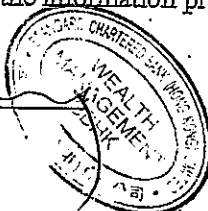
Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the  
best of my knowledge and belief.

By: *[Signature]*  
Transferee/Transferee's Agent

Date: 4 MAY 2011



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Form 210B (10/06)

## United States Bankruptcy Court

\_\_\_\_\_ District Of \_\_\_\_\_

In re \_\_\_\_\_, Case No. \_\_\_\_\_

### NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY

Claim No. \_\_\_\_\_ (if known) was filed or deemed filed under 11 U.S.C. § 1111(a) in this case by the alleged transferor. As evidence of the transfer of that claim, the transferee filed a Transfer of Claim Other than for Security in the clerk's office of this court on \_\_\_\_\_ (date).

\_\_\_\_\_  
Name of Alleged Transferor

\_\_\_\_\_  
Name of Transferee

\_\_\_\_\_  
Address of Alleged Transferor:

\_\_\_\_\_  
Address of Transferee:

**DEADLINE TO OBJECT TO TRANSFER**  
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Form 210A (10/06)

# United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc. et al., Debtors,

Case No. 08-13555 (JMP) (Jointly Administered)

## TRANSFER OF CLAIM OTHER THAN FOR SECURITY

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Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the  
transfer, other than for security, of the claim referenced in this evidence and notice

*Qian Yuanqing*

Standard Chartered Bank (Hong Kong) Limited.  
Name of Transferee

Name of Transferor

Name and Address where notices to transferee  
should be sent:

21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong

Phone: WM.Invest@sc.com

Last Four Digits of Acct #: 8881

Court Claim # (if known): 39235

Amount of Claim: USD 600000

Date Claim Filed: 13 Oct 2009

Phone: 13706157878

Last Four Digits of Acct #: 7168

Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the  
best of my knowledge and belief.

By: *[Signature]*  
Transferee/Transferee's Agent

Date: 4 MAY 2011

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Form 210B (10/06)

## United States Bankruptcy Court

\_\_\_\_\_ District Of \_\_\_\_\_  
In re \_\_\_\_\_ Case No. \_\_\_\_\_

### NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY

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\_\_\_\_\_  
Name of Alleged Transferor

\_\_\_\_\_  
Name of Transferee

\_\_\_\_\_  
Address of Alleged Transferor:

\_\_\_\_\_  
Address of Transferee:

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Date: \_\_\_\_\_

\_\_\_\_\_  
CLERK OF THE COURT



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Southern District Of New York

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transfer, other than for security, of the claim referenced in this evidence and notice

Standard Chartered Bank (Hong Kong) Limited.  
Name of Transferee

Sakuma Kozue  
Name of Transferor

Name and Address where notices to transferee  
should be sent:

21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong

Phone: WM.Invest@sc.com

Last Four Digits of Acct #: 8881

Court Claim # (if known): 48470

Amount of Claim: USD 64,232 ✓

Date Claim Filed: 27 Oct 2009

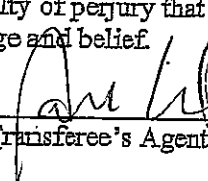
Phone: 852-26093312

Last Four Digits of Acct. #: 6009

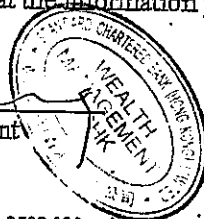
Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the  
best of my knowledge and belief.

By:   
Transferee/Transferee's Agent

Date: - 4 MAY 2011



Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Form 210B (10/06)

## United States Bankruptcy Court

\_\_\_\_\_ District Of \_\_\_\_\_  
In re \_\_\_\_\_, Case No. \_\_\_\_\_

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\_\_\_\_\_  
Name of Alleged Transferor

\_\_\_\_\_  
Name of Transferee

\_\_\_\_\_  
Address of Alleged Transferor:

\_\_\_\_\_  
Address of Transferee:

**DEADLINE FOR OBJECTION TO TRANSFER**  
The alleged transferor of the claim is hereby notified that objections must be filed with the court within twenty (20) days of the mailing of this notice. If no objection is timely received by the court, the transferee will be substituted as the original claimant without further order of the court.

Date: \_\_\_\_\_

\_\_\_\_\_  
CLERK OF THE COURT

Form 210A (10/06)

# United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc. et al., Debtors,

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## TRANSFER OF CLAIM OTHER THAN FOR SECURITY

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transfer, other than for security, of the claim referenced in this evidence and notice

*Sam Lai Shan*

Standard Chartered Bank (Hong Kong) Limited.  
Name of Transferee

Name of Transferor

Name and Address where notices to transferee  
should be sent:

21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong

Phone: WM.Invest@sc.com  
Last Four Digits of Acct #: 8881

Court Claim # (if known): 39175  
Amount of Claim: USD 64232  
Date Claim Filed: 13 OCT 2009

Phone: 93760685  
Last Four Digits of Acct #: 9923

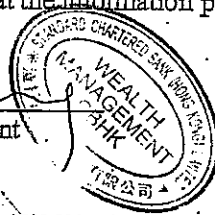
Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the  
best of my knowledge and belief.

By: *[Signature]*  
Transferee/Transferee's Agent

Date: - 4 MAY 2011



Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Form 210B (10/06)

## United States Bankruptcy Court

\_\_\_\_\_ District Of \_\_\_\_\_

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\_\_\_\_\_  
Name of Alleged Transferor

\_\_\_\_\_  
Name of Transferee

\_\_\_\_\_  
Address of Alleged Transferor:

\_\_\_\_\_  
Address of Transferee:

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Standard Chartered Bank (Hong Kong) Limited  
Name of Transferee

Ng Poon Yun Fong  
Name of Transferor

Name and Address where notices to transferee  
should be sent:

21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong

Phone: WM.Invest@sc.com

Last Four Digits of Acct #: 8881

Court Claim # (if known): 38808

Amount of Claim: USD 100,000

Date Claim Filed: 13 Oct 2009

Phone: 90462030

Last Four Digits of Acct. #: 1930

Name and Address where transferee payments  
should be sent (if different from above):

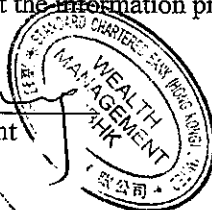
Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the  
best of my knowledge and belief.

By: [Signature]  
Transferee/Transferee's Agent

Date: - 4 MAY 2011



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Form 210B (10/06)

## United States Bankruptcy Court

\_\_\_\_\_ District Of \_\_\_\_\_

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\_\_\_\_\_  
Name of Alleged Transferor

\_\_\_\_\_  
Name of Transferee

Address of Alleged Transferor:

Address of Transferee:

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Date: \_\_\_\_\_

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Standard Chartered Bank (Hong Kong) Limited.  
Name of Transferee

Ng Yin Ha

Name of Transferor

Name and Address where notices to transferee  
should be sent:

21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong

Phone: WM.Invest@sc.com

Last Four Digits of Acct #: 8881

Court Claim # (if known): 47843

Amount of Claim: USD 642321

Date Claim Filed: 27 Oct 2009


Phone: (852) 90591803

Last Four Digits of Acct. #: 8988

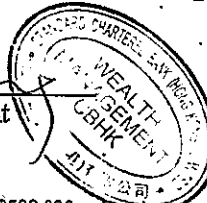
Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the  
best of my knowledge and belief.

By:   
Transferee/Transferee's Agent

Date: - 4 MAY 2011



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\_\_\_\_\_  
Name of Alleged Transferor

\_\_\_\_\_  
Name of Transferee

\_\_\_\_\_  
Address of Alleged Transferor:

\_\_\_\_\_  
Address of Transferee:

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Standard Chartered Bank (Hong Kong) Limited  
Name of Transferee

Name and Address where notices to transferee  
should be sent:  
21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong  
Phone: WM.Invest@sc.com  
Last Four Digits of Acct #: 8881

Name and Address where transferee payments  
should be sent (if different from above):

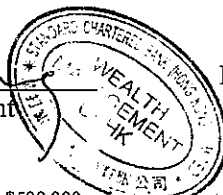
Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

Orr Wai Bun Cecilia  
Name of Transferor

Court Claim # (if known): 38826  
Amount of Claim: USD 100,000 ✓  
Date Claim Filed: 13 Oct 2009  
28844515  
Phone: 98328028  
Last Four Digits of Acct. #: 7825

I declare under penalty of perjury that the information provided in this notice is true and correct to the  
best of my knowledge and belief.

By: [Signature] Date: 4 MAY 2011  
Transferee/Transferee's Agent



Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

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\_\_\_\_\_ District Of \_\_\_\_\_

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\_\_\_\_\_  
Name of Alleged Transferor

\_\_\_\_\_  
Name of Transferee

\_\_\_\_\_  
Address of Alleged Transferor:

\_\_\_\_\_  
Address of Transferee:

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transfer, other than for security, of the claim referenced in this evidence and notice

Standard Chartered Bank (Hong Kong) Limited.  
Name of Transferee

Name and Address where notices to transferee  
should be sent:

21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong

Phone: WM.Invest@sc.com

Last Four Digits of Acct #: 8881

Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

Name of Transferor

Court Claim # (if known): 38658

Amount of Claim: USD 6423.2 -

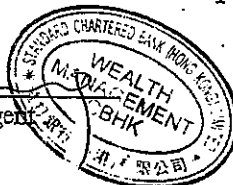
Date Claim Filed: 13 OCT 2009

Phone: 24203431

Last Four Digits of Acct #: 7142

I declare under penalty of perjury that the information provided in this notice is true and correct to the  
best of my knowledge and belief.

By: [Signature]  
Transferee/Transferee's Agent



Date: - 4 MAY 2011

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Form 210B (10/06)

## United States Bankruptcy Court

\_\_\_\_\_ District Of \_\_\_\_\_

In re \_\_\_\_\_, Case No. \_\_\_\_\_

### NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY

Claim No. \_\_\_\_\_ (if known) was filed or deemed filed under 11 U.S.C. § 1111(a) in this case by the alleged transferor. As evidence of the transfer of that claim, the transferee filed a Transfer of Claim Other than for Security in the clerk's office of this court on \_\_\_\_\_ (date).

\_\_\_\_\_  
Name of Alleged Transferor

\_\_\_\_\_  
Name of Transferee

\_\_\_\_\_  
Address of Alleged Transferor:

\_\_\_\_\_  
Address of Transferee:

#### DEADLINE TO OBJECT TO TRANSFER

The alleged transferor of the claim is hereby notified that objections must be filed with the court within twenty (20) days of the mailing of this notice. If no objection is timely received by the court, the transferee will be substituted as the original claimant without further order of the court.

Date: \_\_\_\_\_

\_\_\_\_\_  
CLERK OF THE COURT